

DOCKET NO: 243712US2S DIV



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :

RIICHIRO SHIROTA, ET AL.

: EXAMINER: TRAN, T. F.

SERIAL NO: 10/685,599 :

FILED: OCTOBER 16, 2003

: GROUP ART UNIT: 2811

FOR: FLASH MEMORY HAVING
MEMORY SECTION AND PERIPHERAL
CIRCUIT SECTION :

FFF
ONLY

SUPPLEMENTAL AMENDMENT

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

In response to the Office Action dated October 15, 2004, and supplemental to the
Amendment filed January 3, 2005, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on
page 2 of this paper.

-----Remarks/Arguments begin on page 5 of this paper.-----

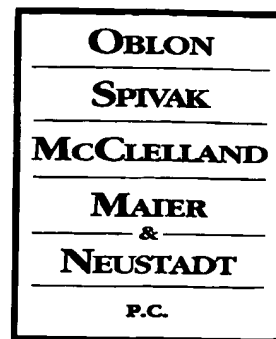
Adjustment Date: 05/13/2005 SDIRETA1
02/16/2005 RTYSON 00000002 150030 10685599
01 FC:1251 120.00 CR

02/16/2005 RTYSON 00000002 150030 10685599

01 FC:1251 120.00 DA

2005 MAR 17 11:43

March 17, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of February, 2005. Please review the highlighted charge on **Serial Number 10/685,599 in the amount of \$120.00 on fee code #1251.**

This charge appears to be in error. A final Office Action was mailed October 15, 2004, setting the response date to January 15, 2005. **A response was filed January 3, 2005. An RCE was filed on February 15, 2005, with the proper one-month extension fee of \$120.00. Therefore, our deposit account should not be charged for any further extension fees.**

Please investigate this charge and **kindly refund \$120.00 to Deposit Account #150030.** Copies of the appropriate paperwork are attached. If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel
Accounting Department

Enclosures



United States
Patent and
Trademark Office

Return To:
USPTO
Home
Page
Finance
Online
Shopping
Page

Deposit Account Statement

Requested Statement Month:

February 2005

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

Address:

1940 DUKE STREET

City:

ALEXANDRIA

State:

VA

Zip:

22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
02/01	78	10503936	256748US6PCT	1201	\$2,000.00	\$16,574.71
02/01	79	10503936	256748US6PCT	1202	\$350.00	\$16,224.71
02/01	192	10880101	254622WO/TEP/FF	8007	\$20.00	\$16,204.71
02/01	245	60584469	255455US/KQU	8007	\$60.00	\$16,144.71
02/01	342	60538457	248191USUS/KQU	8007	\$20.00	\$16,124.71
02/01	343	60559950	251700US/KQU	8007	\$20.00	\$16,104.71
02/02	5	09889141	211141US2PCT	1806	\$180.00	\$15,924.71
02/02	6	10940012	258658US25CONT	1202	\$200.00	\$15,724.71
02/02	262	60573420	253699US/KQU	8007	\$40.00	\$15,684.71
02/03	85	10760499	247798US2SX CONT	1201	\$200.00	\$15,484.71
02/04	10	09836470	205801USDIV	1202	\$500.00	\$14,984.71
02/04	34	11045337	265332US90	1202	\$1,100.00	\$13,884.71
02/04	82	10498357	254769US6PCT	1617	\$130.00	\$13,754.71
02/04	167	10764467	245417US/KQU	8007	\$20.00	\$13,734.71
02/04	169	10764569	245418US/KQU	8007	\$20.00	\$13,714.71
02/04	174	10780640	249160US/KQU	8007	\$60.00	\$13,654.71
02/04	176	60557687	250390US/KQU	8007	\$20.00	\$13,634.71
02/04	177	10796064	248424US/KQU	8007	\$80.00	\$13,554.71
02/04	275	10764527	245419US/KQU	8007	\$20.00	\$13,534.71
02/07	26	PAYMENT		9203	-\$20,000.00	\$33,534.71
02/07	51	10002434	263467US8	1463	\$70.00	\$33,464.71
02/07	140	10212399	263471US8	1463	\$70.00	\$33,394.71
02/07	185	60566077	252645US/KQU	8007	\$60.00	\$33,334.71
02/07	381	0155740001	254464MX/TEP/FF	8014	\$25.00	\$33,309.71
02/08	4	10488242	249173US2XPCT	1463	\$70.00	\$33,239.71
02/08	136	10408218	236211US30/JEM	1251	\$120.00	\$33,119.71
02/08	173	10523108	262509US2PCT	1633	\$100.00	\$33,019.71
02/09	11	10355304	233504USOSCO	1252	\$450.00	\$32,569.71

02/10 1	10279098	229719US3CON	1806	\$180.00	\$32,389.71
02/10 2	10495754	253653US0PCT	1615	\$700.00	\$31,689.71
02/10 2	P110116		1463	\$70.00	\$31,619.71
02/10 3	10147874	223316US0	1202	\$50.00	\$31,569.71
02/10 141	10500863	255939USPCT	1617	\$130.00	\$31,439.71
02/11 1	10419849	236940US90	1251	\$120.00	\$31,319.71
02/11 31	PGT/US03/16209 224 317 WD		9204	-\$10.00	\$31,329.71
02/11 74	PCT/US03/15392 218 216 WD		9204	-\$60.00	\$31,389.71
02/11 76	PCT/US03/15391 222 038 WD		9204	-\$60.00	\$31,449.71
02/11 199	60560274	251482USPROV/KQU	8007	\$20.00	\$31,429.71
02/11 310	10523393	265017US6PCT	1615	\$100.00	\$31,329.71
02/11 316	10523394	265436US90PCT	1616	\$100.00	\$31,229.71
02/11 320	10523395	265470US0PCT	1633	\$100.00	\$31,129.71
02/11 344	10523392	264741US2PCT	1616	\$100.00	\$31,029.71
02/11 473	PCT/US04/11238	235886WO	1605	\$600.00	\$30,429.71
02/11 474	PCT/US04/11238	235886WO	1705	\$162.00	\$30,267.71
02/11 496	10523417	264738US0PCT	1633	\$100.00	\$30,167.71
02/14 199	10523415	264930US3 PCT	1614	\$100.00	\$30,067.71
02/14 204	10523414	264689US0PCT	1617	\$100.00	\$29,967.71
02/14 263	10523585	265502US2PCT	1616	\$100.00	\$29,867.71
02/14 318	10523586	265146US3PCT	1615	\$100.00	\$29,767.71
02/15 1	10612926	239954US2	1806	\$180.00	\$29,587.71
02/15 1	10450709	239267US2PCT	1251	\$120.00	\$29,467.71
02/15 4	10617190	240331US0	1806	\$180.00	\$29,287.71
02/15 5	11017847	263755US0	1202	\$200.00	\$29,087.71
02/15 11	10367708	232794US3	1202	\$950.00	\$28,137.71
02/15 12	10367706	232794US3	1201	\$600.00	\$27,537.71
02/15 255	10523794	264508US0PCT	1633	\$100.00	\$27,437.71
02/16 1	10502052	255861US0PCT	1615	\$100.00	\$27,337.71
02/16 1	10921992	257463US90CONT	1806	\$180.00	\$27,157.71
02/16 3	10685599	243712US2S DIV	1251	\$120.00	\$27,037.71
02/16 8	09748243	201216US2	1806	\$180.00	\$26,857.71
02/16 25	11023486	263965US0DIV	1203	\$360.00	\$26,497.71
02/16 53	10986205	261023US/KQU	8007	\$20.00	\$26,477.71
02/16 412	10523919	264737USOPCT	1633	\$100.00	\$26,377.71
02/16 424	10523974	265523US2PCT	1633	\$100.00	\$26,277.71
02/16 428	10523810	265615US3PCT	1633	\$100.00	\$26,177.71
02/16 432	10523971	265012US0PCT	1633	\$100.00	\$26,077.71
02/16 442	10523931	265206USOPCT	1614	\$100.00	\$25,977.71
02/16 446	10523809	265519US2PCT	1614	\$100.00	\$25,877.71
02/16 456	10523807	259826US2PCT	1633	\$100.00	\$25,777.71
02/16 466	10523927	264288US0PCT	1633	\$100.00	\$25,677.71
02/16 471	10523925	264744US0PCT	1633	\$100.00	\$25,577.71
02/17 11	76129195	19736 US	9204	-\$100.00	\$25,677.71
02/17 14	10523995	265078US0PCT	1633	\$100.00	\$25,577.71
02/17 49	11028539	264201US0DIV	1081	\$750.00	\$24,827.71
02/17 100	60584469	255455US/KQU	8007	\$60.00	\$24,767.71
02/17 106	60565842	252514US/KQU	8007	\$20.00	\$24,747.71



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/685,599	10/16/2003	Riichiro Shirota	243712US2S DIV	5145

22850 7590 10/15/2004

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.
1940 DUKE STREET
ALEXANDRIA, VA 22314

EXAMINER

TRAN, THIEN F

ART UNIT PAPER NUMBER

2811

DATE MAILED: 10/15/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Linked to OPTMS	
10-19-04	243712US2S2V
DATE	CASE ID

Jim

RECEIVED: 10/18/04
OBLON, SPIVAK, MCCLELLAND
MAIER & NEUSTADT, P.C.
DOCKETING DEPT.
Initials/Date Docketed: SK 10/18/04
Type of Resp(s): 25 / NRCS
Due Date(s): 11/15/05 / 12/15/05

OSMM&N File No. 243712US2S DIV

Serial No. 10/685,599

In the matter of the Application of: Riichiro SHIROTA, et al.

For: FLASH MEMORY HAVING MEMORY SECTION AND PERIPHERAL
CIRCUIT SECTION

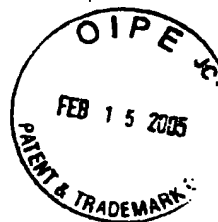
Dept.: E/M

By: EHK/SNS/iyh

Due Date: 2/15/05

The following has been received in the U.S. Patent Office on the date stamped hereon

- ☒ Credit Card Form for \$910.00
- ☒ Dep. Acct. Order Form
- ☒ RCE Transmittal
- ☒ Petition for Extension of Time (1 Month)
- ☒ Amendment Filed Concurrently with RCE



Docket No.: 243712US2S DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Riichiro SHIROTA, et al.

SERIAL NO: 10/685,599

GAU: 2811

FILED: October 16, 2003

EXAMINER: T. F. TRAN

FOR: FLASH MEMORY HAVING MEMORY SECTION AND PERIPHERAL CIRCUIT SECTION

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

This is a request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

Submission required under 37 C.F.R. §1.114

Previously Submitted:

- ☒ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on January 3, 2005 and Supplemental Amendment filed on January 28, 2005.
- ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

Enclosed:

- ☒ Amendment/Reply
- ☐ Information Disclosure Statement (IDS)
- ☐ Other:

FEES	RATE	CALCULATIONS
<input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of months.	\$200.00	\$0.00
<input checked="" type="checkbox"/> RCE Fee required under 37 C.F.R. §1.17(e)	\$790.00	\$790.00
<input checked="" type="checkbox"/> A ONE MONTH EXTENSION OF TIME IS REQUESTED		\$120.00
<input type="checkbox"/>		\$0.00
TOTAL OF ABOVE CALCULATIONS:		\$910.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING AS SMALL ENTITY		\$0.00
TOTAL:		\$910.00

- ☐ A check in the amount of \$0.00 is enclosed
- ☒ Credit card payment form is attached to cover the fees in the amount of \$910.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 CFR 1.136, and any additional fees required under 37 CFR 1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Eckhard H. Kuesters

Registration No. 28,870

Customer Number

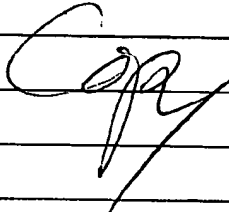
22850

Tel. (703) 413-3000
Fax. (703) 413-2220

Surinder Sachar

Registration No. 34,423

United States Patent & Trademark Office
Credit Card Payment Form

Credit Card Information	
Credit Card Type: <input checked="" type="checkbox"/> American Express	
Credit Card Account #: 3787-351122-61008	
Credit Card Expiration Date: December 31, 2005	
Name as it Appears on Credit Card: He, Jian Y.	
Payment Amount: \$(US Dollars): 910.00	
Signature: 	Date: February 15, 2005
Credit Card Billing Address	
Street Address 1: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.	
Street Address 2: 1940 Duke Street	
City: Alexandria	
State: Virginia	Zip/Postal Code: 22314
Country: U.S.A.	
Daytime Phone #: (703) 413-3000 Accounting Dept.	Fax #: (703) 413-2220
Request and Payment Information	
Description of Request and Payment Information: RCE, EOT	
Application No.: 10/685,599	
Patent/Registration No.:	
Docket No.: 243712US	

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

Form #: 29663